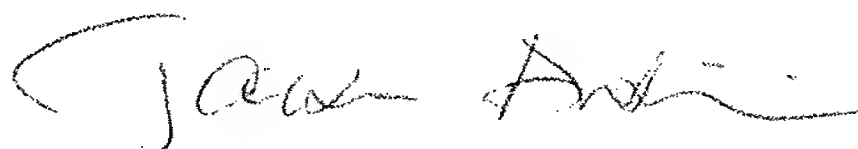


I, Taru Antikainen, Iso Roobertinkatu 23, FIN-00120 Helsinki, Finland, hereby state that I am knowledgeable in the Finnish and English languages and that I believe the attached translation to be a true and complete translation of Finnish Patent Application No. 20011778, filed with the Finnish Patent Office on 7 September 2001, upon which the claim to priority in the present application is based.

Helsinki, 28 July 2004

A handwritten signature in black ink, appearing to read 'Taru Antikainen', with a stylized, flowing script.

Taru Antikainen  
Translator

## IMPLEMENTING MULTICASTING

### FIELD OF THE INVENTION

The invention relates to an arrangement and method for implementing multicasting in IP networks in particular.

### 5 BACKGROUND OF THE INVENTION

Multicasting is a method, by which one and the same content can be distributed efficiently to several recipients in a network. In comparison with conventional transmission between two parties, referred to by the abbreviation PTP (point to point), multicasting saves bandwidth, because in IP networks, for  
10 instance, packets with an identical content are not transmitted from one source to several recipients over the entire route, but one packet is transmitted from the source and multiplied in the last possible router in the network into as many copies as there are recipients. Thus, this is a PTM (point to multipoint) transmission. This transmission can be simultaneous, contrary to PTP transmission  
15 in which the transmission is to one recipient at a time.

A certain section of the IP address space is reserved for multicast addresses, and these addresses are not given as normal IP addresses of devices. A given multicast address belongs to all devices belonging to the group in question. The basic idea in multicasting is that the recipients register to de-  
20 sired groups by using a known protocol (Internet Group Management Protocol, IGMP). Separate multicasting protocols deliver a multicast transmission (UDP-based traffic from one to many) from the sender to the subscribers.

Multicasting is implemented by means of multicast trees. Each multicast sender has a multicast tree. A multicast tree refers to a connection from  
25 a sender through different routers and branching off from the routers to each recipient.

The known method for implementing multicasting causes problems in new data networks. This concerns especially networks that comprise unidirectional links. One such network is the multi-bearer network (MBN) that is a  
30 network arrangement providing several different network service types for the delivery of data packets. The network comprises a core network that is connected to the Internet or some other public data network and through interface units to different network services, i.e. access networks, such as the cellular radio networks GSM (Global System for Mobile Communication), GPRS (Gen-  
35 eral Packet Radio System), and UMTS (Universal Mobile Telecommunications

System), and the broadcast networks DAB (Digital Audio Broadcast) and DVB (Digital Video Broadcast). Of the above networks, the cellular radio networks are bi-directional and the broadcast networks unidirectional.

5 A subscriber terminal connected to a system through a unidirectional link cannot connect to a multicast transmission organized by using the prior art, because it cannot transmit anything to the network due to the unidirectional link, and only receives.

## BRIEF DESCRIPTION OF THE INVENTION

10 It is an object of the invention to implement a method and an arrangement implementing the method in such a manner that multicasting is possible in networks of different type that also comprise unidirectional links. This is achieved by a method for implementing multicasting in IP networks, in which multicast packets are transmitted by means of a multicast tree from one transmitter through several multicast controllers to several recipients. In the  
15 method of the invention, at least one multicast tree intended for control messages is generated in the network from a network multicast controller to multicast controllers at cell level, the network multicast controller transmits control messages along the multicast tree to the cell-level multicast controllers, and the control messages contain information on the multicast transmission of the  
20 network and a command to connect to the multicast tree of the network intended for multicasts.

The invention also relates to an arrangement for implementing multicasting in IP networks, which comprises a number of routers transmitting messages of the different components in the network to each other, at least  
25 one multicast transmitter that is arranged to transmit multicast packets through a multicast tree to several receivers, a number of cell-level multicast controllers that are arranged to transmit packets to receivers, a multicast controller that is arranged to control the cell-level multicast controllers. In the system of the invention, the network comprises at least one multicast tree intended for control  
30 messages from the network multicast controller to the cell-level multicast controllers, the network multicast controller is arranged to transmit control messages along the multicast tree to the cell-level multicast controllers, and the control messages contain information on the multicast transmission of the network and a command to connect to the multicast tree of the network intended  
35 for multicast transmissions.

Preferred embodiments of the invention are described in the dependent claims.

One basic idea of the invention is to use multicast trees both for transmitting control data from the multicast controller and for transmitting the actual information from the signal source. Thus in a preferred embodiment, the network has two logically different multicast trees, one for control messages (control announcement tree, CAT) and one for other multicast messages (Internet standard multicast, ISM). Each tree is identified by the IP multicast address of the tree.

The CAT multicast tree transmits control messages from the network multicast controller to the cell-level multicast controllers. The control messages comprise information on the multicast transmission of the network and commands to connect to the multicast tree of the network intended for multicast transmissions. The cell-level multicast controllers of the network connect to the multicast tree intended for the control messages of the network when they connect to the IP network.

The method and system of the invention provide several advantages. In the solution of the invention, each recipient need not separately register as a recipient, but the cell-level multicast controller registers to receive and transmit multicast transmissions in its cell regardless of whether any one of the terminals in the cell has registered as a recipient of the multicast transmissions. This way, a terminal with a unidirectional link, for instance, can receive multicast transmissions even though it cannot transmit a request to the network and thus not register as a recipient of multicast transmissions.

Further, the network multicast controller need not know and exactly identify the cell-level multicast controllers. Therefore, an increase in the number of cell-level multicast controllers does not affect in any way the operation of the actual multicast controller of the network, nor does it cause a scaling problem in the amount of maintenance and configuration data.

## BRIEF DESCRIPTION OF THE FIGURES

The invention will now be described in greater detail by means of preferred embodiments and with reference to the attached drawings, in which

Figures 1A to 1C illustrate multicast trees,

Figure 2 shows an example of an IP network, to which the solution of the invention can be applied,



Figure 3 shows a second example of an IP network,

Figure 4 shows by means of a signal diagram an example of the signalling of IP network components with respect to multicasting, and

Figure 5 illustrates different access networks.

## 5 DETAILED DESCRIPTION OF THE INVENTION

Let us examine the examples of Figures 1A to 1C of IP networks and multicast trees. The figures show two multicast transmitters 100, 102, two recipients 104, 106, and a number of routers 108 to 118 through which packets transmitted by the transmitters pass in the IP network. The routers 108 to 118 are, in a way, programmed switches that have a number of input ports and a number of output ports and that following certain predefined rules and using the address fields in the packets transmit the packets from the input ports to given output ports. In principle, there are two types of multicast trees, the shortest path trees, i.e. source trees, are illustrated in Figures 1A and 1B. Figure 1A shows the shortest path through routers from a transmitter 100 to recipients 104 and 106, and Figure 1B shows the shortest path through routers from a transmitter 102 to recipients. The shortest path tree thus uses a route that runs through as few routers as possible.

Figure 1C in turn illustrates a shared distribution tree. Here, the transmitters 100 and 102 transmit packets to a certain router 112 by using the shortest path method, and from there onwards, the packets go along a common route. The router 112 is called a rendezvous point RP. From it onwards, the route is divided between the packets of several multicast transmitters. The shortest path method requires more memory, but minimizes the delay in packet propagation. The shared distribution tree in turn uses less memory, but the delay of the packets may be longer, because the route of the packets is not necessarily the shortest possible. The above alternatives are equal for the present invention.

A few multicast methods have been developed for IP networks that can be applied to the preferred embodiments of the invention. One such method is the protocol independent multicast-sparse mode PIM-SM or protocol independent multicast-dense mode PIM-DM. PIM-SM is designed for networks, in which groups are relatively sparsely situated, and PIM-DM for networks, in which groups are in an area where receivers are relatively densely situated.

Let us examine Figure 2 that shows an example of an IP network to which the solution of the invention can be applied. The network comprises three layers. A backbone layer 200 comprises the backbone of the network or a number of backbones of different networks that are inter-connected through  
 5 routers and that are capable of transmitting packets and generating a multicast tree, i.e. that comprise PIM-SM routers 206 to 210, for instance. An interface unit layer (IU layer) 202 comprises interface functions between a core network and an access network. This layer can also be implemented as a logical layer in such a manner that the interface functions are implemented either in the  
 10 equipment of the core network or the access network. An access network layer 204 comprises an access network, by means of which users are connected through the core network to IP-based services.

Let us examine some of the network elements shown in the figure. A multicast source 212 transmits multicast data in packets to the IP network. PIM routers 206 to 210 transmit the multicast packets along the multicast trees  
 15 from one router to another. Designated routers 214, 216 are connected to one or more cell-level controllers. A designated router is the closest router capable of multicasting to a cell-level controller. A cell-level controller 218 to 222 controls one or more cells in the access network. Depending on the system, a cell-level controller can control all cells in the system, or there may be one controller per cell.  
 20

A network multicast controller 224 controls the multicasting of the system. The network multicast controller 224 can thus be a different device than the multicast transmitter 212. Cell-level multicast controllers 226 to 230  
 25 are together with cell-level controllers. Both reside in the access network layer. The cell-level multicast controllers keep a record on the active transmissions in a cell. The cell-level multicast controllers connect to the multicast tree intended for the network control messages as described later.

Let us examine Figure 3 that shows an example of an IP network to which the solution of the invention can be applied, and multicast tree solutions of a preferred embodiment. The figure shows by way of example three cell-level controllers 300 to 304 that herein also comprise cell-level multicast controllers. The cell-level controllers are connected through designated routers 306 to 310 to the rest of the network. In a solution of a preferred embodiment,  
 30 the network has two logically different multicast trees, one for control messages (control announcement tree, CAT) and one for other multicast mes-  
 35

sages (Internet standard multicast, ISM). The control message tree ends at the network multicast controller 224, and it is marked with a dashed line in the figure. The multicast tree of the multicast messages ends at the multicast transmitter 212, and it is marked with a continuous line in the figure. As shown in the figure, multicast trees can be different, which is due to the fact that the multicast transmitter 212 and the network multicast controller 224 may reside in different parts of the network.

As mentioned earlier, a certain section of the IP address space is reserved for multicast addresses, and these addresses are not given as normal IP addresses of devices. A given multicast address belongs to all devices belonging to the group in question. D-group addresses in the range from 224.0.0.0 to 239.255.255.255 are allocated for multicasting. The address thus identifies the group and not an individual recipient.

Let us examine a solution of a preferred embodiment by means of the signalling diagram of Figure 4. The diagram shows IP network components and the signalling between them from the viewpoint of multicasting. It is assumed herein that in the first step, the cell-level controller, which comprises a cell-level multicast controller, is connected to the network. The cell-level multicast controller then transmits a message 400 to the closest designated router for the purpose of connecting to the multicast tree intended for network control messages. This occurs regardless of whether the cell-level controller has received any multicast reception requests from the terminals in its cell. The router transmits the message 402, 404 on through PIM routers to the multicast controller. The message route in question becomes a multicast tree from the multicast controller to the cell-level controller. The message of the cell-level controller is transmitted to the multicast controller as IGMP connection messages 400 to 404, for instance. IGMP (Internet group management protocol) is a known multicasting protocol.

In the second step, the multicast controller transmits control messages for cell-level multicast controllers to the control announcement tree (CAT) intended for network control messages. The messages can be transmitted as SAP notifications, for instance, comprising SDP multicast descriptions. SAP (session announcement protocol) is a known protocol for multicast message transmission, and it is described in reference publication Handley M, "Session Announcement Protocol," IETF RFC 2974, October 2000. SDP in turn is a message description that is described in reference publication Hand-



ley M and Jacobson V, "SDP: Session Description Protocol," IETF RFC 2327, April 1998. Each CAT is identified by one multicast address, so SAP packets in one multicast tree have the same multicast address. Messages propagate in the multicast tree 406 to 408 until the cell-level controller.

5                   A control message can comprise the following fields:

- One or more multicast group identifiers.
- A recipient definition filter. Multicasting can for instance be intended for all receivers in a certain area A, or for all receivers with a certain property.

10                   - The time during which the information contained in the control message is valid.

- Sender authentication.

- A request for acknowledgement, if the multicasting defined by the message will not be received.

15                   The multicast group identifier field is obligatory. The other fields are optional. Sender authentication can be arranged with the following generally known method, for instance: the network multicast controller calculates from the control message a standard-length result 'message digest' with a secure hash function. This result is signed digitally with a private key of the network  
 20                   multicast controller. The final result, a message authentication code, is attached to the control message. When a cell-level multicast controller receives a control message, it first separates the authentication code. The code is opened with a public key of the network multicast controller (each cell-level multicast controller has this key or it can easily be obtained, since a public key  
 25                   is public information). Next, the 'message digest' is calculated from the message with the hash function and it is compared with the opened code. If the results match, the control message is authentic (it comes from an authentic source) and whole (it has not changed underway).

30                   In the third step, the cell-level controllers receive the control messages. Depending on the content of the message and the configuration of the cell-level multicast controller, the cell-level controller operates in different ways when receiving a message. The cell-level controller can do the following, for instance:

- Register as a multicast recipient to a multicast tree by using an  
 35                   IGMP join command, for instance, 412 to 416.



- As above, but in addition it can notify 418 the terminals in the cell that a multicast transmission is available (AVAILABLE) by using an SDP message, for instance.

5 - As in the first alternative, but it can notify 418 the terminals in the cell that the multicast transmission must be received (MUST-LISTEN). The message can be an SDP message, for instance.

10 - It can leave the message unprocessed. This can occur, for instance, if the time indicated in the message has elapsed or if there is no certainty about the sender. Further, if the message indicates that the recipient group has been limited with a filter, and the recipient does not belong to this group, the message is not processed.

In the fourth step, the multicast transmitter transmits multicast packets from the core network to the cells along the multicast tree 420 to 426.

15 The invention has several different embodiments. For instance, the protocol used for multicasting in the network, with which the multicast trees and messages are transmitted, is not significant. The above-mentioned PIM-SM and PIM-DM protocols, for instance, can be used. There are also several alternatives for the used control protocol, such as SDP over SAP and UDP (User Datagram Protocol) or ICMP (Internet Control Message Protocol). SAP,  
20 UDP, and ICMP are generally used protocols in IP networks.

Figure 5 illustrates an IP-based multi-bearer network, to which different access networks are connected. The routers 306 to 310 shown in the figure correspond to the routers in Figure 3. Router 306 is connected to a gateway GPRS Support node GGSN 500 of the GPRS (General Packet Radio  
25 System) network. GGSN 500 routes packets between the GPRS system and an external IP network. GGSN further has a connection 502 to a serving GPRS support node SGSN 504. The main task of SGSN 504 is to transmit and receive packets to and from user equipment 506 supporting packet-switched transmission by using a base station system. The base station system comprises a base station controller BSC 508 and a base station BS 510 controlled  
30 by it. In this case, the cell-level controller is in SGSN 504.

The router 308 is connected to a WLAN (Wireless Local Area Network) router 512. The router in turn has a connection 513 to a router 514. The router 514 in turn has a connection to an access point AP 516 that has a wireless  
35 connection to a terminal 518. In this case, the cell-level controller is either in the router 512 or in the router 514.

The router 310 is connected to a DAB/DVB system router 520. The router is connected to a coder 522 in which the coding required by the system is performed, and the coder is connected to a multiplexer 524 from which a signal is transmitted through an ATM network to the transmitter 528 that  
5 transmits the signal on a unidirectional connection to a terminal 530. In this case, the cell-level controller is in the router 520.

The above DAB/DVB description is only one example of a possible implementation. Said network can also be implemented in other ways, such as by having the coder and/or multiplexer in the transmitter 528, whereby the  
10 network comprises consecutive routers ending up in the transmitter 528. The cell-level controller then always resides in the penultimate router.

In all the examples described above, the cell-level controller is thus arranged to connect to the multicast tree intended for network control messages when it connects to the IP network. In practice, this feature in the cell-  
15 level controller is in most cases implemented by program. There is no need for structural changes in the known cell-level controllers in the preferred embodiment of the invention, and a desired feature can be included in the software of the controller. Correspondingly, the features of the preferred embodiment of the invention can be implemented by program in the multicast controller.

20 Even though the invention has been explained in the above with reference to an example in accordance with the accompanying drawings, it is apparent that the invention is not restricted to it but can be modified in many ways within the scope of the inventive idea disclosed in the attached claims.

## CLAIMS

1. A method for implementing multicasting in IP networks, in which multicast packets are transmitted by means of a multicast tree from one transmitter (212) through several multicast controllers to several recipients,

5 **characterized** by

generating at least one multicast tree intended for control messages in the network from a network multicast controller (224) to multicast controllers at cell level (300 to 304),

10 the network multicast controller (224) transmitting control messages along the multicast tree to the multicast controllers at cell level (300 to 304),

the control messages containing information on the multicast transmission of the network and a command to connect to the multicast tree of the network intended for multicasts.

2. A method as claimed in claim 1, **characterized** in that  
15 when connecting to the IP network, the cell-level multicast controller (300 to 304) connects to the multicast tree intended for the network control messages.

3. A method as claimed in claim 1, **characterized** in that after receiving a control message from the network multicast controller (224) through the multicast tree intended for control messages, the cell-level multicast controller (300 to 304) connects to the network multicast tree intended for multicasts and defined in the control message.  
20

4. A method as claimed in claim 1, **characterized** in that after connecting to the network multicast tree intended for multicasts, the cell-level multicast controller (300 to 304) transmits the packets it received through  
25 the tree to the receivers in the cell.

5. A method as claimed in claim 1, **characterized** in that information on the identifier of one or more multicast groups is included in the control messages.

6. A method as claimed in claim 1, **characterized** in that information on the time of validity of the control message is included in the control messages.  
30

7. A method as claimed in claim 1, **characterized** in that information on sender authentication is included in the control messages.

8. A method as claimed in claim 1, **characterized** in that a receiver filter is included in the control messages.  
35



9. A method as claimed in claim 1, **characterized** in that after receiving a control message from the network multicast controller (224), the cell-level multicast controller (300 to 304) registers as a recipient of the multicast defined in the control message.

5           10. A method as claimed in claim 1, **characterized** in that after receiving a control message from the network multicast controller (224), the cell-level multicast controller (300 to 304) notifies the recipients of its cell that a multicast is available.

10           11. A method as claimed in claim 1, **characterized** in that after receiving a control message from the network multicast controller (224) through the multicast tree intended for control messages, the cell-level multicast controller (300 to 304) notifies the recipients of its cell that a multicast must be received.

15           12. A method as claimed in claim 1, **characterized** in that after receiving a control message from the network multicast controller (224) through the multicast tree intended for control messages, the cell-level multicast controller (300 to 304) does not process the message.

            13. An arrangement for implementing multicasting in IP networks, which comprises

20           a number of routers transmitting messages of the different components in the network to each other,

            at least one multicast transmitter (212) that is arranged to transmit multicast packets through a multicast tree to several receivers,

25           a number of cell-level multicast controllers (300 to 304) that are arranged to transmit packets to receivers,

            a network multicast controller (224) that is arranged to control the cell-level multicast controllers (300 to 304),

**characterized** in that

30           the network comprises at least one multicast tree intended for control messages from the network multicast controller to the cell-level multicast controllers (300 to 304),

            the network multicast controller (224) is arranged to transmit control messages along the multicast tree to the cell-level multicast controllers (300 to 304),

and the control messages contain information on the multicast transmission of the network and a command to connect to the multicast tree of the network intended for multicast transmissions.

14. An arrangement as claimed in claim 13, **characterized**  
5 in that the cell-level multicast controller (300 to 304) is arranged to connect to the multicast tree intended for network control messages when connecting to the IP network.

15. An arrangement as claimed in claim 13, **characterized**  
10 in that in that the cell-level multicast controller (300 to 304) is arranged to connect to the multicast tree of the network intended for multicasts after having received a control message from the network multicast controller (224) through the multicast tree intended for control messages.

(57) ABSTRACT

The invention relates to an arrangement and method for implementing multicasting in IP networks, in which multicast packets are transmitted by means of a multicast tree from one transmitter (212) through several multicast controllers to several recipients. In the method, at least one multicast tree intended for control messages is generated in the network from a network multicast controller (224) to cell-level multicast controllers (300 to 304). The network multicast controller (224) transmits along the multicast tree control messages to the cell-level multicast controllers (300 to 304). The control messages contain information on the network multicast and a command to connect to the network multicast tree intended for multicasts.

(Figure 3)



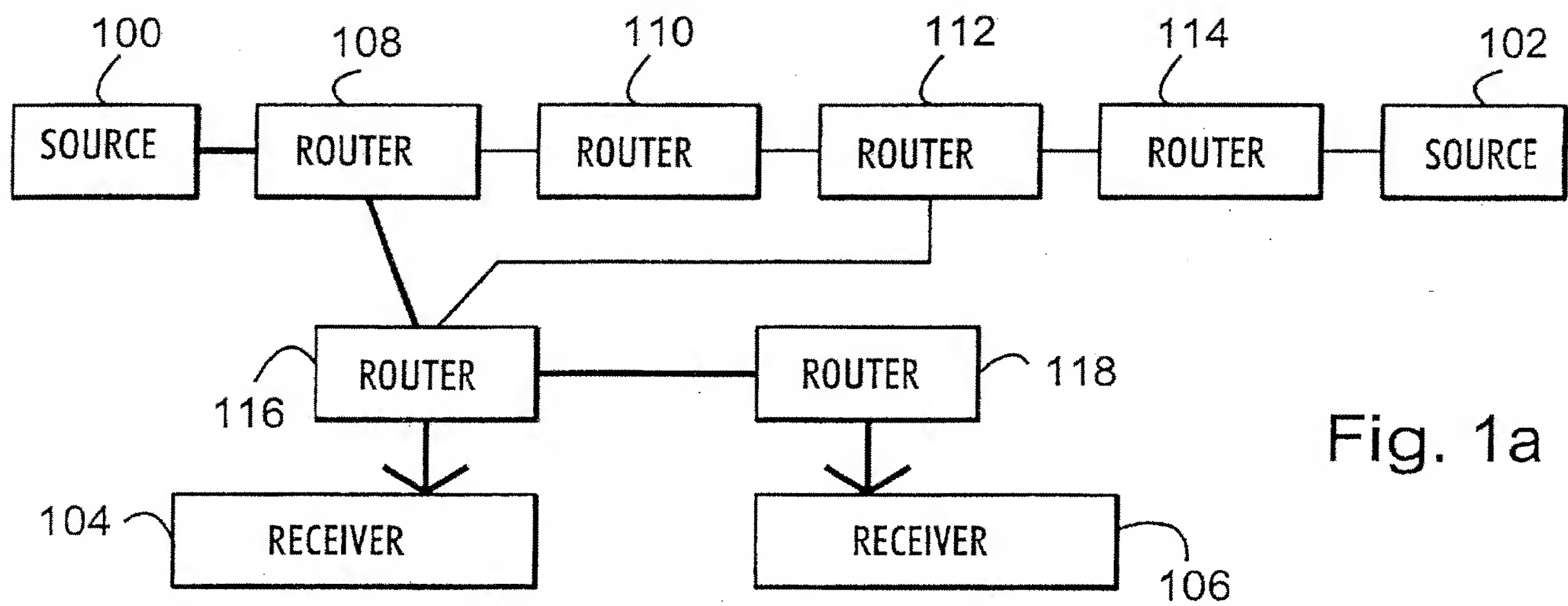


Fig. 1a

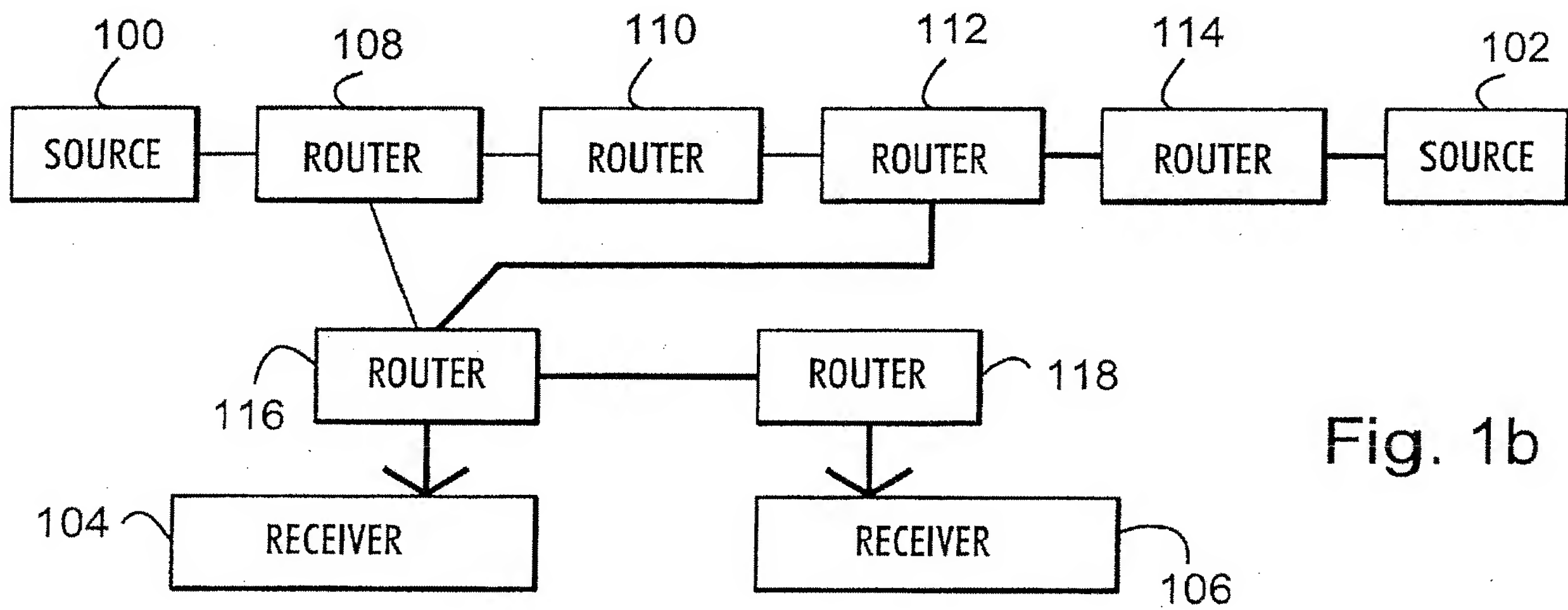


Fig. 1b

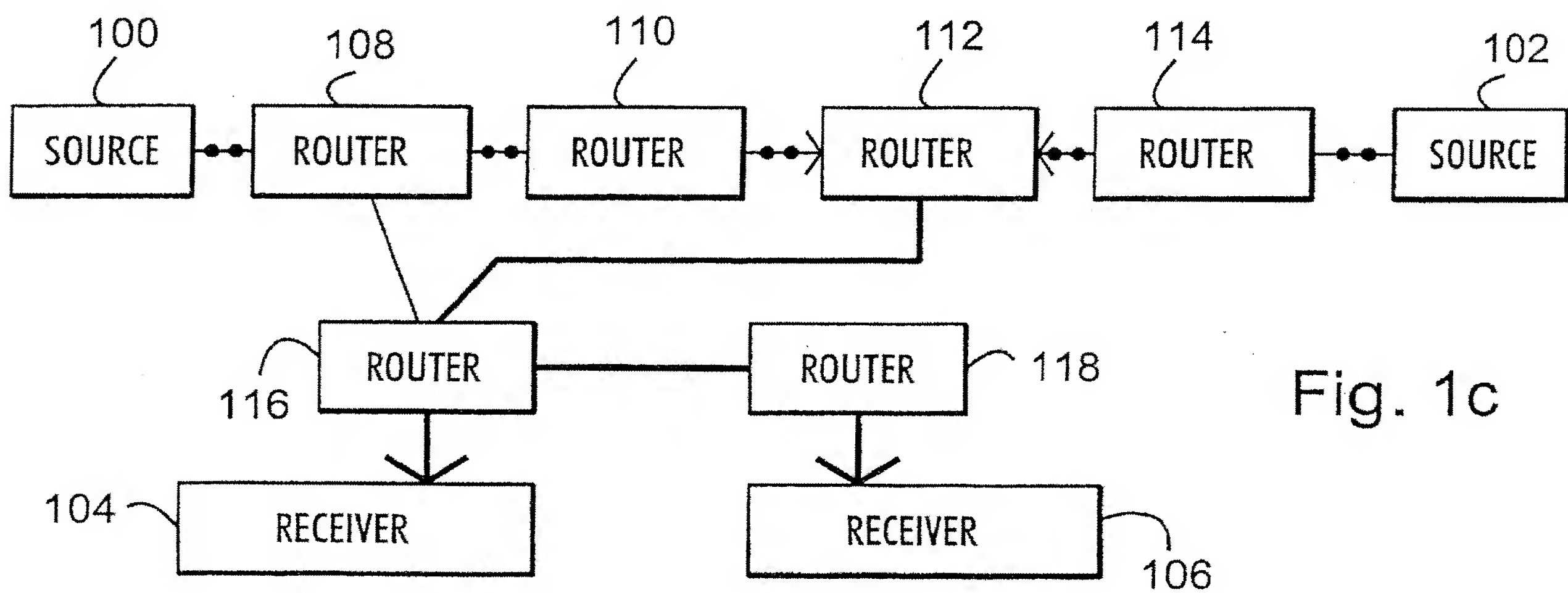


Fig. 1c

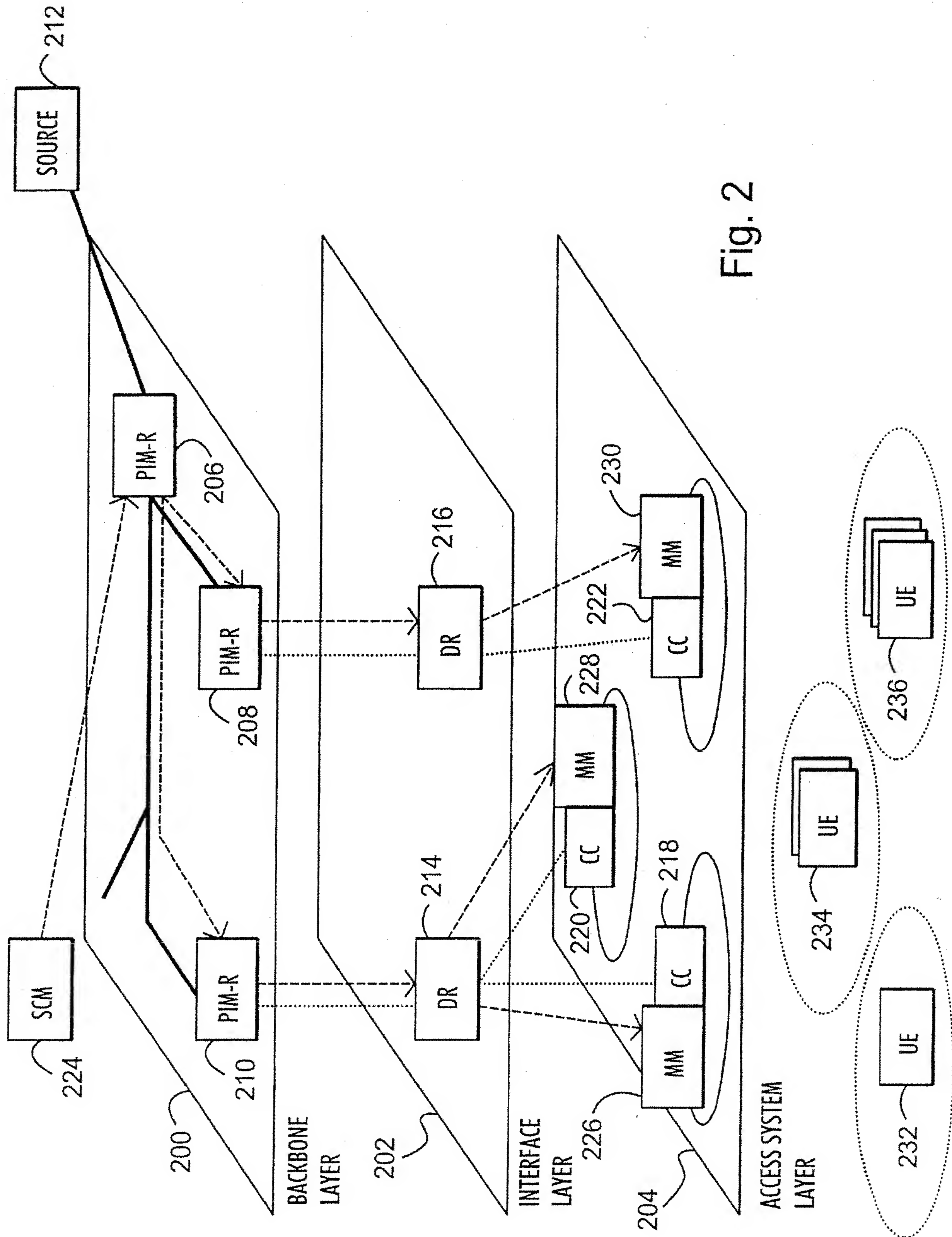


Fig. 2

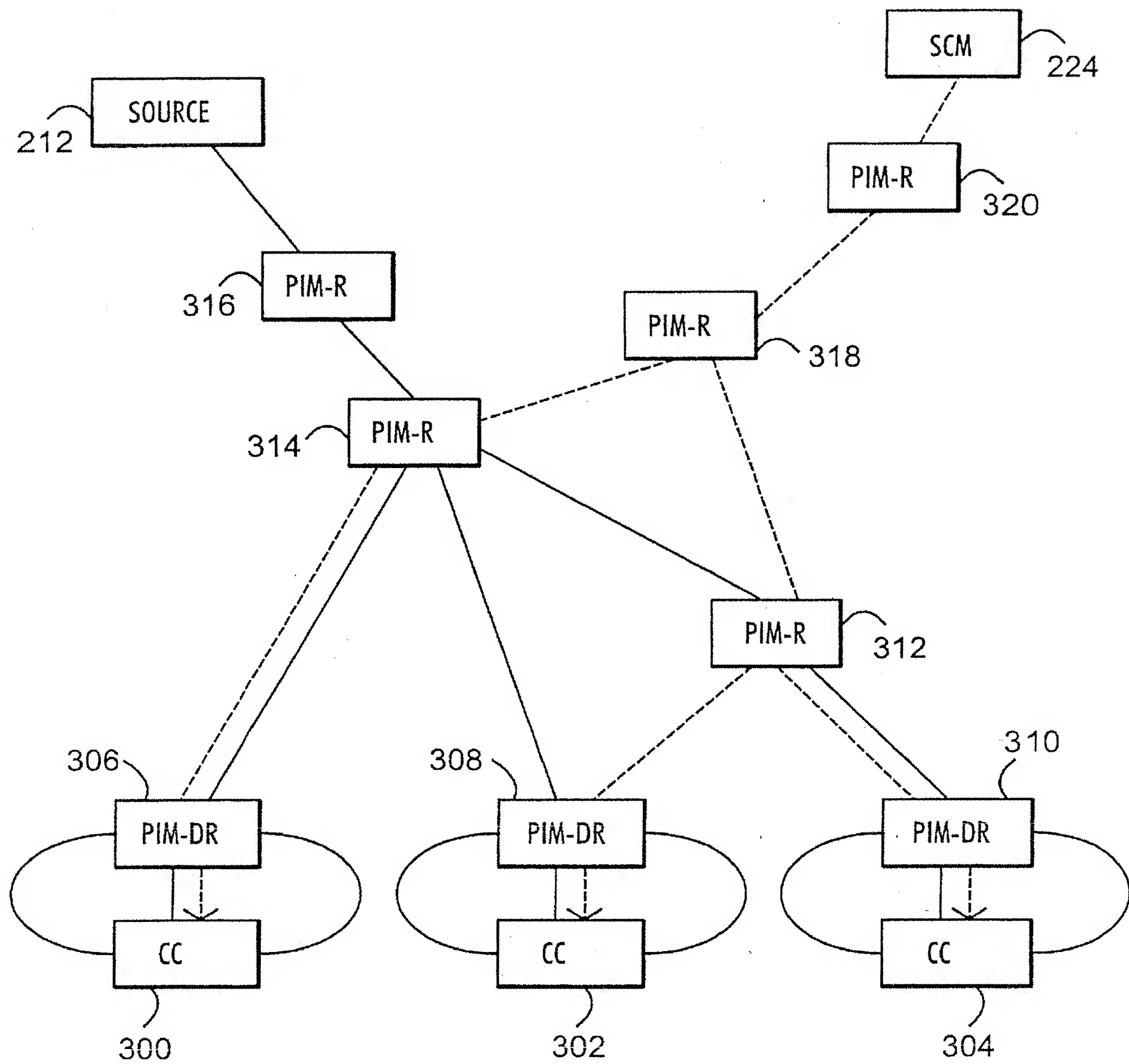


Fig. 3



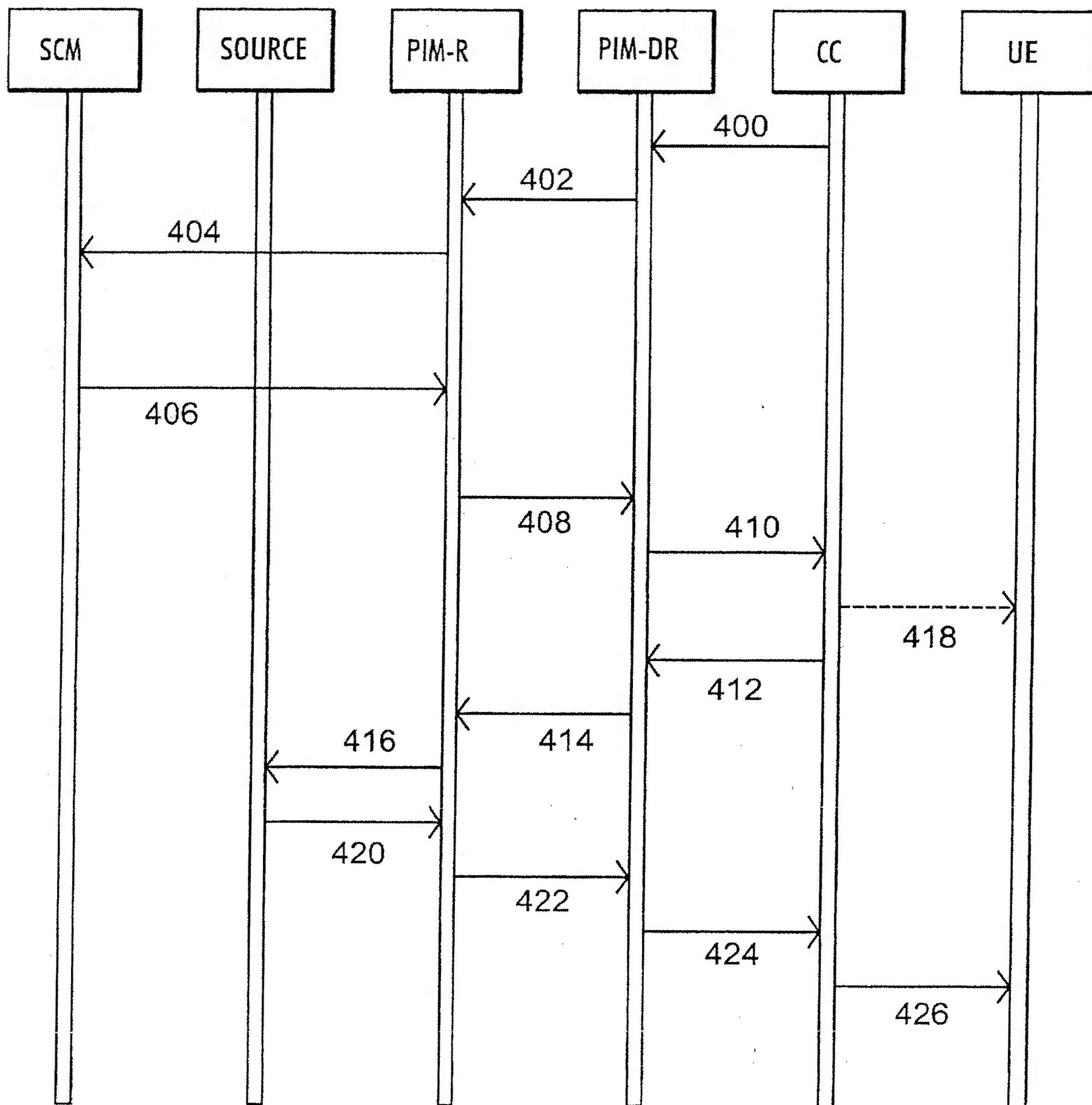


Fig. 4

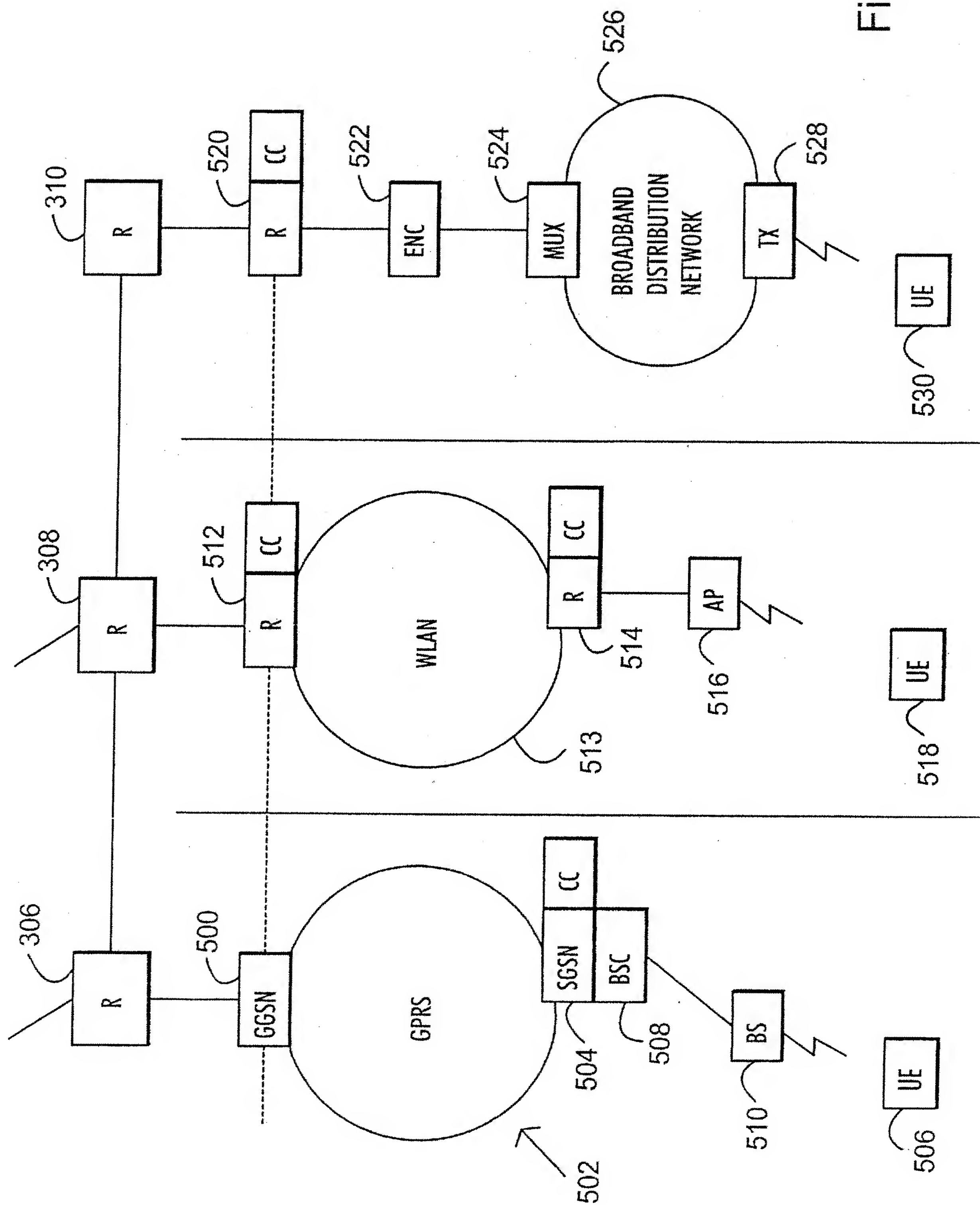


Fig. 5